

**Form #A-18****Arbitration Activity Report**

Associations are encouraged to publish periodic Code of Ethics activity reports.

Number of arbitration requests filed from January 1, 2024 to December 31, 2024  
Date Date

	Dollar Amount Requested	Resolved through Mediation		Dollar Amount Awarded	Procedural Review Request Filed		Other Notes
		Yes	No		Yes	No	
Request #1	\$10,200.00		✓	n/a	n/a	n/a	Withdrawn by complainant
Request #2	\$17,097.00	✓		n/a	n/a	n/a	
Request #3	\$359,543.00	n/a	n/a	n/a	n/a	n/a	Dismissed as not arbitrable
Request #4	\$8,104.00	✓		n/a	n/a	n/a	
Request #5	\$2,500.00	✓		n/a	n/a	n/a	
Request #6	\$9,747.00	✓		n/a	n/a	n/a	
Request #7	\$4,125.00						
Request #8	\$13,372.50	✓		n/a	n/a	n/a	
Request #9	\$77,6684.32	n/a	n/a	n/a	n/a	n/a	Dismissed as not arbitrable
Request #10	\$6,666.67						
Request #11							
Request #12							
Request # 13							
Request # 14							
Request # 15							

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\*No report shall include the names of individuals or firms.

# 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
1	Ethics	September 2018	Articles 1, 2, 3 & 9	Respondent 1: Referred Articles 1, 2 & 9; Dismissed Article 3 (ABEYANCE) Respondent 2: Dismissed			
2	Ethics	March 2021	Articles 1, 2, 5 & 12	Referred; Add Article 4; Dismissed Article 12 (ABEYANCE)			
3	Ethics	September 2022	Article 1	Referred	Violation	(Approved) June 2024	Letter of Reprimand; Fine; Continuing Education
4	Ethics	February 2023	Articles 1, 2, 10, 11 & 16	Referred Articles 1 & 2; Dismissed Articles 10 & 16	No Violation	(Approved) Jan. 2024	N/A
5	Ethics	April 2023	Article 16	Referred	No violation	(Approved) Jan. 2024	N/A
6	Ethics	July 2023	Articles 2, 3, 12, & 16	Referred Article 3; Dismissed Articles 2, 12 & 16	Withdrawn → Dismissed by Grievance Panel	N/A	N/A
7	Ethics	July 2023	Article 2	Referred	No violation	(Approved) Mar. 2024	N/A
8	Ethics	August 2023	Article 10	Referred	Violation of Article 10	Dismissed on Appeal	Continuing Education
9	Ethics	August 2023	Article 3	Referred	Violation	Upheld on Appeal	Letter of Reprimand; Fine; Continuing Education
10	Ethics	August 2023	Articles 1, 2, 5, 9, 10, 13 & 16	Referred Articles 1, 2 & 9; Dismissed Articles 5, 10, 13, & 16	No Violation	(Approved) Feb. 2024	N/A

# 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
11	Ethics	September 2023	Article 1	Referred	Violation	(Approved) Feb. 2024	Letter of Warning Continuing Education
12	Ethics	September 2023	Article 1	Referred	Complainant failed to appear at Hearing → Grievance Dismissed	N/A	N/A
13	Ethics	September 2023	Article 16	Referred; Add Article 1	No violation Article 1; Violation Article 16	(Approved) March 2024	Letter of Warning
14	Ethics	September 2023	Article 15	Referred	Violation	(Approved) Feb. 2024	Letter of Reprimand; Fine; Continuing Education
15	Ethics	October 2023	Articles 1 & 14	Referred Articles 1, 2 & 9; Dismissed Article 14; add Article 2 & 9	No Violation	(Approved) April 2024	N/A
16	Ethics	October 2023	Article 1	Referred Articles 1 & 9; Add Article 9	No Violation	(Approved) Feb. 2024	N/A
17	Ethics	October 2023	Articles 1, 2, 4, 9, 11 & 12	Referred	No Violation Articles 2, 4, 9 & 11; Violation Article 12	(Approved) June 2024	No Discipline Imposed
18	Ethics	October 202	Articles 1, 8, 11, 13, 14 & 15	Referred Articles 1, 2, 8 & 11; Dismissed Article 13, 14, & 15; Add Article 2	Complainant failed to appear at Hearing → Grievance Dismissed	N/A	N/A
19	Ethics	October 2023	Articles 1, 2, 9, 11, 12, 13	Referred Articles 1, 9, 11 & 13; Dismissed Article 2 & 12	No Violation Articles 1, 11 & 12; Violation Article 9	(Approved) August 2024	Continuing Education
20	Ethics	October 2023	Articles 1, 6 & 9	Referred	Complainant failed to appear at Hearing → Grievance Dismissed	N/A	N/A
21	Ethics	November 2023	Article 1	Referred	No Violation	(Approved) Feb. 2024	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
22	Ethics	October 2023	Articles 1, 3, 9 & 16	Referred Article 3; Dismissed Articles 1, 9 & 16	Violation	(Approved) April 2024	Continuing Education
23	Ethics	November 2023	Articles 14 & 16	Referred; Dismissed Articles 14 & 16; Add Respondent 2 (broker) and Articles 1, 11 & 12	Respondent 2: No Violation Respondent 1: Violation Articles 1, 11 & 12	(Approved) June 2024	Membership Suspension; Fine; Continuing Education
24	Ethics	November 2023	Article 16	Referred	Violation	(Approved) June 2024	Continuing Education
25	Ethics	November 2023	Articles 1 & 9	Referred Article 1; Dismissed Article 9; add Article 2	Withdrawn → Dismissed by Grievance Panel	N/A	N/A
26	Ethics	November 2023	Article 12	Referred; Add Articles 1 & 2	No Violation	(Approved) June 2024	N/A
27	Ethics	November 2023	Articles 1, 2, 9, 12 & 16	Referred; Add Article 11	No Violation	(Approved) April 2024	N/A
28	Ethics	December 2023	Articles 1 & 8	Referred Article 1; Dismissed Article 8	Withdrawn → Dismissed by Grievance Panel	N/A	N/A
29	Ethics	December 2023	Article 15	Referred	Violation	(Approved) February 2024	Letter of Reprimand; Continuing Education
30	Ethics	December 2023	Articles 1 & 2	Referred Articles 1 & 2; Add Articles 8, 9, 11	Violation Article 1 No Violation Articles 2, 8, 9 & 11		Continuing Education
31	Ethics	December 2023	Articles 1	Referred; Add Article 8	Violation	(Approved) June 2024	Letter of Warning; Continuing Education
32	Ethics	January 2024	Articles 3 & 15	Referred; Add Articles 1 & 2	No Violation	(Approved) June 2024	N/A
33	Ethics	January 2024	Articles 1, 2, 12, 13 & 15	Dismissed	N/A	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
34	Ethics	January 2024	Article 1	Dismissed	N/A	N/A	N/A
35	Ethics	January 2024	TEST CASE	N/A	N/A	N/A	N/A
36	Ethics	January 2024	ANONYMOUS /CLOSED	N/A	N/A	N/A	N/A
37	Ethics	January 2024	Articles 2 & 10	Dismissed	N/A	N/A	N/A
38	Ethics	February 2024	Article 2 & 12	Referred; Add Article 4	Withdrawn → Dismissed by Grievance Panel	N/A	N/A
39	Ethics	February 2024	Article 1	Referred: ADD BROKER	Violation	(Approved) June 2024	Letter of Reprimand
40	Ethics	February 2024	Articles 1, 2, 3, 8, 9, 11, 12 & 15	Dismissed	N/A	N/A	N/A
41	Ethics	February 2024	Articles 1, 3, 5 & 15	Dismissed	N/A	N/A	N/A
42	Ethics	February 2024	Articles 1 & 2	Dismissed	N/A	N/A	N/A
43	Ethics	February 2024	Articles 1, 8 & 9	Dismissed	N/A	N/A	N/A
44	Ethics	February 2024	Articles 3, 8, 9, 16	Dismissed	N/A	N/A	N/A
45	Ethics	February 2024	Article 9	Dismissed	N/A	N/A	N/A
46	Ethics	February 2024	Articles 12, 13, 14 & 15	Dismissed	N/A	N/A	N/A
47	Ethics	February 2024	Article 1	Dismissed	N/A	N/A	N/A
48	Ethics	February 2024	Articles 10, 11, 13, 14, 15 & 16	Dismissed	N/A	N/A	N/A
49	Ethics	February 2024	ANONYMOUS /CLOSED	N/A	N/A	N/A	N/A

# 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
50	Ethics	February 2024	Articles 1, 2, 3, 4 & 5	Dismissed	N/A	N/A	N/A
51	Ethics	February 2024	Article 15	Dismissed	N/A	N/A	N/A
52	Ethics	February 2024	TEST CASE	N/A	N/A	N/A	N/A
53	Ethics	February 2024	Article 1	Dismissed	N/A	N/A	N/A
54	Ethics	February 2024	Article 10	Dismissed	N/A	N/A	N/A
55	Ethics	February 2024	Articles 1, 11 & 15	Dismissed	N/A	N/A	N/A
56	Ethics	February 2024	Articles 1 & 10	Dismissed	N/A	N/A	N/A
57	Ethics	February 2024	Article 10	Dismissed	N/A	N/A	N/A
58	Ethics	February 2024	DUPLICATE/ CLOSED	N/A	N/A	N/A	N/A
59	Ethics	March 2024	Article 1	Dismissed	N/A	N/A	N/A
60	Ethics	March 2024	Articles 1, 8 & 9	Referred; Dismissed Articles 8 & 9	Violation		Letter of Reprimand, Fine, Membership Suspension
61	Ethics	March 2024	Articles 1 & 2	Referred; add Article 12	No violation		N/A
62	Ethics	March 2024	Article 16	Dismissed	N/A	N/A	N/A
63	Ethics	March 2024	Article 10	Dismissed	N/A	N/A	N/A
64	Ethics	March 2024	Articles 1, 3, 4, 9 & 12	Dismissed	N/A	N/A	N/A
65	Ethics	March 2024	Article 9	Dismissed	N/A	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
66	Ethics	March 2024	Article 10	Dismissed	N/A	N/A	N/A
67	Ethics	March 2024	Articles 1 & 3	Dismissed	N/A	N/A	N/A
68	Ethics	March 2024	Articles 1, 2, 9 & 12	Dismissed	N/A	N/A	N/A
69	Ethics	March 2024	Article 15	Dismissed	N/A	N/A	N/A
70	Ethics	March 2024	Article 13	Dismissed	N/A	N/A	N/A
71	Ethics	March 2024	Articles 1 & 12	Dismissed	N/A	N/A	N/A
72	Ethics	April 2024	Articles 1, 3 & 9	Dismissed	N/A	N/A	N/A
73	Ethics	April 2024	Articles 4 & 5	Dismissed	N/A	N/A	N/A
74	Ethics	April 2024	Article 1	Referred; Add Article 3	Violation		Letter of Warning, Continuing Education
75	Ethics	April 2024	Article 3	Referred; Add Article 16	Citation Violation		Continuing Education, Fine
76	Ethics	April 2024	Article 1	Dismissed	N/A	N/A	N/A
77	Ethics	April 2024	Article 12	Dismissed	N/A	N/A	N/A
78	Ethics	April 2024	Article 13	Dismissed	N/A	N/A	N/A
79	Ethics	April 2024	Article 1	Referred; Add Article 3 and Broker as Respondent	Respondent 1: Violation Article 1; no violation Article 3 Respondent 2: No Violation		Letter of Warning, Continuing Education
80	Ethics	April 2024	Article 2	Dismissed	N/A	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
81	Ethics	April 2024	Article 2	Referred; Add Article 1	Violation		Fine Letter of Reprimand Continuing Education
82	Ethics	April 2024	Article 15	Dismissed	N/A	N/A	N/A
83	Ethics	April 2024	Article 9	Dismissed	N/A	N/A	N/A
84	Ethics	April 2024	Articles 2, 12 & 15	Dismissed	N/A	N/A	N/A
85	Ethics	April 2024	Article 1	Referred	Complainant failed to appear at Hearing → Grievance Dismissed	N/A	N/A
86	Ethics	April 2024	Article 9	Dismissed	N/A	N/A	N/A
87	Ethics	April 2024	Article 1	Dismissed	N/A	N/A	N/A
88	Ethics	April 2024	Articles 3 & 16	Dismissed	N/A	N/A	N/A
89	Ethics	April 2024	Articles 2 & 12	Dismissed	N/A	N/A	N/A
90	Ethics	April 2024	Articles 1, 9, 12 & 16	Referred; Add Article 3; Dismissed Articles 1, 12 & 16	Violation		Continuing Education
91	Ethics	April 2024	Articles 5, 7, 9 & 12	Dismissed	N/A	N/A	N/A
92	Ethics	April 2024	Article 16	Dismissed	N/A	N/A	N/A
93	Ethics	May 2024	Article 1	Dismissed	N/A	N/A	N/A
94	Ethics	May 2024	Article 1	Dismissed	N/A	N/A	N/A
95	Ethics	May 2024	Articles 1, 2 & 3	Dismissed	N/A	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
96	Ethics	May 2024	Article 2	Dismissed	N/A	N/A	N/A
97	Ethics	May 2024	Articles 1, 2, 3 & 8	Referred, Add Article 9; Dismiss Article 8	Withdrawn → Dismissed by Grievance Panel	N/A	N/A
98	Ethics	May 2024	Articles 1 & 2	Referred; Add Article 11; Dismiss Article 1	Complainant failed to appear at Hearing → Dismissed by Grievance Panel	N/A	N/A
99	Ethics	May 2024	Article 9	Referred; Add Articles 1, 2 & 12	Violation Articles 1, 2 & 9; no violation Article 12		Fine Continuing Education
100	Ethics	May 2024	Articles 1, 3 & 4	Dismissed	N/A	N/A	N/A
101	Ethics	May 2024	Articles 1, 3 & 4	Dismissed	N/A	N/A	N/A
102	Ethics	May 2024	Articles 1, 2 & 12	Dismissed	N/A	N/A	N/A
103	Ethics	May 2024	Articles 1, 3, 8 & 12	Referred	No Violation		N/A
104	Ethics	May 2024	Articles 2, 9, 10, 12, 15 & 16	Dismissed	N/A	N/A	N/A
105	Ethics	May 2024	Articles 1, 2, 4, 11, 13 & 15	Referred	No Violation		N/A
106	Ethics	May 2024	Article 2	Dismissed	N/A	N/A	N/A
107	Ethics	June 2024	Article 2	Dismissed	N/A	N/A	N/A
108	Ethics	June 2024	Article 13	Withdrawn (prior to Grievance Review)	N/A	N/A	N/A
109	Ethics	June 2024	Article 8	Dismissed	N/A	N/A	N/A
110	Ethics	June 2024	Articles 8, 10 & 12	Dismissed	N/A	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
111	Ethics	June 2024	Article 1	Dismissed	N/A	N/A	N/A
112	Ethics	June 2024	Articles 10 & 13	Dismissed	N/A	N/A	N/A
113	Ethics	June 2024	Article 5	Referred; Dismissed Article 5; Add Article 9	Violation	Upheld on Appeal	Fine & Continuing Education
114	Ethics	June 2024	Articles 1, 2, 3, 5, 6, 7, 8, 9, & 14	Dismissed	N/A	N/A	N/A
115	Ethics	June 2024	Article 16	Dismissed	N/A	N/A	N/A
116	Ethics	June 2024	Article 1	Dismissed	N/A	N/A	N/A
117	Ethics	June 2024	Articles 1, 2, 9, 11, 12 & 16	Dismissed	N/A	N/A	N/A
118	Ethics	June 2024	Articles 1 & 13	Referred; Add Article 4, Dismissed Articles 1 & 13	N/A	N/A	N/A
119	Ethics	June 2024	Articles 6, 10, 12, 15 & 16	Dismissed	N/A	N/A	N/A
120	Ethics	June 2024	Articles 1, 2, 3, 4 & 5	Dismissed	N/A	N/A	N/A
121	Ethics	June 2024	Article 1	Dismissed	N/A	N/A	N/A
122	Ethics	June 2024	Articles 12 & 15	Referred: Add Articles 1, 8 & 9, Dismissed Articles 12 & 15	Complainant failed to appear at Hearing → Dismissed by Grievance Panel	N/A	N/A
123	Ethics	June 2024	Articles 1, 2 & 9	Dismissed	N/A	N/A	N/A
124	Ethics	June 2024	Articles 1, 2, 11 & 12	Dismissed	N/A	N/A	N/A
125	Ethics	June 2024	Articles 1 & 11	Dismissed	N/A	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
126	Ethics	June 2024	Articles 12 & 15	Referred; Dismissed Article 15	No Violation	N/A	N/A
127	Ethics	July 2024	Articles 1 & 9	Dismissed	N/A	N/A	N/A
128	Ethics	July 2024	Articles 1 & 2	Referred (ABEYANCE)			
129	Ethics	July 2024	Article 1	Referred	Withdrawn → Dismissed by Grievance Panel	N/A	N/A
130	Ethics	July 2024	Respondent 1: Articles 1, 3, 9 & 10; Respondent 2: Articles 1, 3 & 9	Dismissed	N/A	N/A	N/A
131	Ethics	July 2024	Article 11	Referred	No Violation		N/A
132	Ethics	July 2024	Articles 1, 2, 4 & 12	Referred Articles 1 & 4; Dismissed Articles 2 & 12	Violation of Article 1 and 4		Letter of Warning
133	Ethics	July 2024	Article 1	Referred	No violation		N/A
134	Ethics	July 2024	Articles 9 & 16	Dismissed	N/A	N/A	N/A
135	Ethics	August 2024	Articles 7 & 15	Dismissed Article 7; Referred 15	Violation of Article 15		Letter of Warning
136	Ethics	August 2024	Articles 1, 2, 9 & 11	Dismissed	N/A	N/A	N/A
137	Ethics	August 2024	Articles 1 & 6	Dismissed	N/A	N/A	N/A
138	Ethics	August 2024	Article 12	Referred	Withdrawn → Dismissed by Grievance Panel	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
139	Ethics	August 2024	Articles 1, 2, 10, 13 & 16	Referred Articles 1, 2 & 16 Add 9; Dismissed Articles 10 and 13	Violation Articles 2 and 9; No violation Article 16		Letter of Reprimand (Respondent 1); Continuing Education (both Respondents)
140	Ethics	August 2024	Articles 1 & 13	Dismissed	N/A	N/A	N/A
141	Ethics	August 2024	Articles 1 & 2	Referred; Add Articles 8, 9 and 11	Violation Article 1 No violation Articles 2, 8, 9, 11		Continuing education
142	Ethics	August 2024	Articles 1, 2 & 12	Dismissed	N/A	N/A	N/A
143	Ethics	August 2024	Article 3	Dismissed	N/A	N/A	N/A
144	Ethics	August 2024	Article 1, 11 & 16	Dismissed	N/A	N/A	N/A
145	Ethics	August 2024	Article 1, 2 9 10, 11 & 12	Dismissed	N/A	N/A	N/A
146	Ethics	August 2024	Article 2	Dismissed	N/A	N/A	N/A
147	Ethics	August 2024	Articles 1, 2, 3 & 9	Dismissed	N/A	N/A	N/A
148	Ethics	August 2024	Articles 1, 2, 3, 9 & 11	Dismissed	N/A	N/A	N/A
149	Ethics	August 2024	Articles 1 & 11	Dismissed	N/A	N/A	N/A
150	Ethics	August 2024	Article 1	Dismissed	N/A	N/A	N/A
151	Ethics	September 2024	Article 3 & 15	Dismissed	N/A	N/A	N/A
152	Ethics	September 2024	Articles 1, 2, 9, 12 & 16	Referred; Dismissed Articles 2, 9, 12 & 16	No Violation		N/A
153	Ethics	September 2024	Article 10	Dismissed	N/A	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
154	Ethics	September 2024	Article 1	Dismissed	N/A	N/A	N/A
155	Ethics	September 2024	Articles 1, 2, 3 & 9	Dismissed	N/A	N/A	N/A
156	Ethics	September 2024	Article 16	Dismissed	N/A	N/A	N/A
157	Ethics	September 2024	Articles 9, 12 & 16	Dismissed	N/A	N/A	N/A
158	Ethics	September 2024	Articles 1, 12 and 15	Referred			
159	Ethics	October 2024	Article 1	Dismissed	N/A	N/A	N/A
160	Ethics	October 2024	Articles 1, 2, 3, 6, 12, 13, 15 and 16	Dismissed	N/A	N/A	N/A
161	Ethics	October 2024	Articles 1 and 3	Dismissed	N/A	N/A	N/A
162	Ethics	October 2024	Article 4	Dismissed	N/A	N/A	N/A
163	Ethics	October 2024	Article 1	Dismissed	N/A	N/A	N/A
164	Ethics	October 2024	Articles 3, 11 and 15	Dismissed	N/A	N/A	N/A
165	Ethics	October 2024	Article 13	Dismissed	N/A	N/A	N/A
166	Ethics	October 2024	Article 1 and 12	Dismissed	N/A	N/A	N/A
167	Ethics	October 2024	Articles 1, 9, 12 and 16	Dismissed	N/A	N/A	N/A
168	Ethics	October 2024	Articles 2 and 4	Referred Article 4; Dismissed Article 2			
169	Ethics	October 2024	Article 7	Dismissed	N/A	N/A	N/A
170	Ethics	October 2024	Articles 2 and 12	Referred Article 2; Dismissed Article 12	Withdrawn →		

# 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
171	Ethics	October 2024	Articles 1, 2, 5, 7, 9, 10, 12 and 13	Dismissed	N/A	N/A	N/A
172	Ethics	October 2024	Articles 1, 2, 6, 9 and 12	Referred; Dismissed Articles 6, 9 and 12			
173	Ethics	October 2024	Article 1 –13	Referred; Add Articles 4, 5, 9, 12 & 13	Violation Articles 4, 5 & 9; No Violation Articles 1, 12 & 13		Letter of Warning Continuing Education
174	Ethics	October 2024	Articles 1, 2, 3, 11, 12 and 13	Referred; Dismissed 2, 3, 11, 12 and 13 (ABEYANCE)			
175	Ethics	October 2024	Article 16	Dismissed	N/A	N/A	N/A
176	Ethics	October 2024	Articles 1, 3, and 13	Referred; Dismissed Articles 3 and 13			
177	Ethics	October 2024	Article 2	Dismissed	N/A	N/A	N/A
178	Ethics	October 2024	Article 1	Dismissed	N/A	N/A	N/A
179	Ethics	October 2024	Article 2	Referred; Add Articles 1 and 9			
180	Ethics	October 2024	Articles 1 and 10	Dismissed	N/A	N/A	N/A
181	Ethics	October 2024	Articles 1, 2 and 9	Referred; Dismissed Article 9	Violation		Continuing Education
182	Ethics	October 2024	Article 16	Dismissed	N/A	N/A	N/A
183	Ethics	October 2024	Article 8	Dismissed	N/A	N/A	N/A
184	Ethics	October 2024	Articles 1, 2 and 9	Referred; Dismissed Article 2			
185	Ethics	October 2024	Articles 1, 4 and 12	Referred; Dismissed Articles 1 & 12			
186	Ethics	October 2024	Article 15	Referred			

# 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
187	Ethics	October 2024	Articles 1, 4, 9 and 13	Dismissed	N/A	N/A	N/A
188	Ethics	October 2024	Articles 1, 2, 9 and 12	Referred; Dismissed Articles 2 and 12			
189	Ethics	October 2024	Articles 1, 2, 3 and 11	Dismissed	N/A	N/A	N/A
190	Ethics	October 2024	Article 2	Dismissed	N/A	N/A	N/A
191	Ethics	October 2024	Article 1	Dismissed	N/A	N/A	N/A
192	Ethics	October 2024	Article 12	Dismissed	N/A	N/A	N/A
193	Ethics	October 2024	Articles 15 and 16	Referred; Add Article 4			
194	Ethics	October 2024	Article 10	Referred			
195	Ethics	October 2024	Article 1	Dismissed	N/A	N/A	N/A
196	Ethics	October 2024	Article 10	Referred; Add Articles 15 & 16			
197	Ethics	October 2024	Articles 2, 6, 8, 9 and 12	Referred; Add Article 1			
198	Ethics	October 2024	Articles 1, 2 and 12	Dismissed	N/A	N/A	N/A
199	Ethics	October 2024	Article 15	Dismissed	N/A	N/A	N/A
200	Ethics	October 2024	Article 3 and 10	Dismissed	N/A	N/A	N/A
201	Ethics	November 2024	Articles 1, 2 and 12	Dismissed	N/A	N/A	N/A
202	Ethics	November 2024	Articles 1 and 12	Dismissed	N/A	N/A	N/A
203	Ethics	November 2024	Articles 1, 2, 3, 6, 9, 11, 12 and 13	Dismissed	N/A	N/A	N/A

# 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
204	Ethics	November 2024	Article 13	Dismissed	N/A	N/A	N/A
205	Ethics	November 2024	Article 16	Dismissed	N/A	N/A	N/A
206	Ethics	November 2024	Articles 1, 2	Dismissed	N/A	N/A	N/A
207	Ethics	November 2024	DUPLICATE/ CLOSED	N/A	N/A	N/A	N/A
208	Ethics	November 2024	Articles 1, 2 and 11	Dismissed	N/A	N/A	N/A
209	Ethics	November 2024	Article 1	Dismissed	N/A	N/A	N/A
210	Ethics	November 2024	Articles 2, 12	Dismissed	N/A	N/A	N/A
211	Ethics	November 2024	Articles 1, 2, 12 and 13	Dismissed	N/A	N/A	N/A
212	Ethics	November 2024	Article 16	Dismissed	N/A	N/A	N/A
213	Ethics	November 2024	Article 15	Dismissed	N/A	N/A	N/A
214	Ethics	November 2024	Articles 1, 2 and 9	Dismissed	N/A	N/A	N/A
215	Ethics	November 2024	Article 16	Dismissed	N/A	N/A	N/A
216	Ethics	November 2024	Article 10	Dismissed	N/A	N/A	N/A
217	Ethics	November 2024	Articles 1, 2, and 12	Dismissed	N/A	N/A	N/A
218	Ethics	November 2024	Article 15	Referred	Withdrawn → Dismissed by Grievance Panel	N/A	N/A
219	Ethics	November 2024	Articles 11 and 16	Dismissed	N/A	N/A	N/A
220	Ethics	November 2024	Articles 1, 2, and 12	Dismissed	N/A	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
221	Ethics	December 2024	Article 2	Referred: Amended to Add Article 12			
222	Ethics	December 2024	Articles 1 and 2	Dismissed	N/A	N/A	N/A
223	Ethics	December 2024	Articles 1, 2, 3, 8, 10, 12, 13, 14, 15 and 16	Dismissed	N/A	N/A	N/A
224	Ethics	December 2024	Articles 1, 2 and 9	Dismissed	N/A	N/A	N/A
225	Ethics	December 2024	Articles 1 and 2	Dismissed	N/A	N/A	N/A
226	Ethics	December 2024	Articles 4 and 12	Dismissed	N/A	N/A	N/A
227	Ethics	December 2024	INACTIVE/ CLOSED	N/A	N/A	N/A	N/A
228	Ethics	December 2024	Article 3	Citation Violation			
229	Ethics	December 2024	Articles 1, 2 and 16	Dismissed	N/A	N/A	N/A
230	Ethics	December 2024	Article 1	Dismissed	N/A	N/A	N/A
231	Ethics	December 2024	Articles 15 and 16	Dismissed	N/A	N/A	N/A
232	Ethics	December 2024	Articles 1, 2, 12 and 13	Dismissed	N/A	N/A	N/A
233	Ethics	December 2024	Articles 1 and 3	Dismissed	N/A	N/A	N/A
234	Ethics	December 2024	Articles 1, 2, 6, 11 and 15	Dismissed	N/A	N/A	N/A
235	Ethics	December 2024	Articles 1, 2, 4, 5, 11 and 12	Dismissed	N/A	N/A	N/A
236	Ethics	December 2024	Article 1	Dismissed	N/A	N/A	N/A
237	Ethics	December 2024	Articles 1, 2 and 9	Dismissed	N/A	N/A	N/A

## 2024 Ethics Activity Report

January 1, 2024 – December 31, 2024

#	Complaint	Grievance Panel Review	Alleged Article(s)	Grievance Panel Decision	Hearing Panel Decision	Executive's Final Action	Discipline Imposed
238	Ethics	December 2024	Article 15	Referred	Withdrawn →		